

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: JUDITH E. SCHWABE
SERIAL NO.: UNASSIGNED
FILING DATE: HERewith
TITLE: REMOTE INCREMENTAL PROGRAM VERIFICATION USING
API DEFINITIONS
EXAMINER: UNASSIGNED
ART UNIT: UNASSIGNED

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Commissioner for Patents & Trademarks, Alexandria, VA 22313-1450, on
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Name:

Lola R. Salazar
Lola R. Salazar

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited was submitted in Application No. 09/662,503 upon which is based a claim for priority under 35 U.S.C. § 120. The Examiner is requested to make these items official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

Copies of the documents listed in the attached Form 1449 were previously submitted in prior application Serial No. 09/662,503, filed September 14, 2000, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. In accordance with 37 C.F. R. 1.98(d), copies of the listed documents are not included.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.


III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: 9/12/03



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Form PTO 1449 (Rev. 2-32)				U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. SUN-P4172 CNT		Serial No.	
Information Disclosure Statement by Applicant						Applicant: Schwabe, Judith E.			
(Use several sheets if necessary)						Filed: Herewith		Group:	
U.S. Patent Documents									
Init.		Document No.	Date	Name	Class	Subclass	Filing Date		
Init.	A	98/37526	19.02.98	WO	G07F 7/10				
	B	0 718 761 A1	19.12.95	EPO	G06F 9/455	G06F 9/44			
	C	Chan, "Infrastructure of Multi-Application Smart Card", http://home.hkstar.com/~alanchan/papers/multiApplicationSmartCard/ , July 25, 2002							
	D	Chen, Zhiquan, "Java Card™ Technology for Smart Cards", <i>Sun Microsystems</i> , pages 11-16, June, 2000							
	E	Ritchey, Tim, "Advanced Topics: The Java Virtual Machine", <i>Java!</i> , Chapter 14, pages 25-346, September 22, 1995							
	F	Sun Microsystems, Inc., "Java Card™ 2.0 Language Subset and Virtual Machine Specification", October 13, 1997, Revision 1.0 Final.							
	G	Sun Microsystems, Inc., "Java Card™ 2.0 Programming Concepts", October 15, 1997, Revision 1.0 Final.							
	H	"Sun Delivers On Vision to Bring JAVA Technology to the Consumer and Embedded Market", <i>Business Wire</i> , September 28, 1999							
	I	"Sun Microsystems Announces JAVACARD API", <i>Business Wire</i> , October 1996							
	J	Sun Microsystems: "Sun's JAVA Technology Leads Open Worldwide Market for Smart Cards", <i>M2, Presswire</i> , May 1999							
Examiner					Date Considered				
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.									

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Notice of References Cited	Application/Control No. SUN-P4172 ENT	Applicant(s)/Patent Under Reexamination Judith E. Schwabe	
	Examiner	Art Unit	Page 6 of 6

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
X	A	US-6,002,871	12-1999	Duggan et al.	717/135
X	B	US-5,668,999	09-1997	Gosling, James A.	717/126
X	C	US-6,247,171	06-2001	Yellin et al.	717/126
X	D	US-6,075,940	06-2000	Gosling, James A.	717/126
X	E	US-6,052,732	04-2000	Gosling, James A.	709/229
X	F	US-5,748,964	05-1998	Gosling, James A.	717/126
X	G	US-6,477,666	11-2002	Sanchez et al.	714/41
X	H	US-6,138,112	10-2000	Slutz, Donald R.	707/2
X	I	US-6,038,378	03-2000	Kita et al.	714/38
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.